# Lecture #9

## <span id="page-0-0"></span>MA 511, Introduction to Analysis

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## Perfect sets

- One of the goals of topology is to strip away all of the extraneous information and isolate the properties responsible for a particular phenomenon we are studying.
- For example, we saw that the set  $[0, 1]$  was uncountable, but what is it about this set that makes it uncountable? Is it a particular example of a more general phenomenon?

#### **Definition**

A set  $P \subseteq \mathbb{R}$  is **perfect** if it is closed and contains no isolated points.

Example: Show that the Cantor set is perfect.

#### Theorem

A nonempty perfect set is uncountable.

#### Definition

Two nonempty sets  $A, B \subseteq \mathbb{R}$  are **separated** if  $\overline{A} \cap B = \emptyset$  and  $A \cap \overline{B} = \emptyset$ . A set  $E \subseteq \mathbb{R}$  is **disconnected** if it can be written as  $E = A \cup B$ , where A and  $B$  are nonempty separated sets. A set that is not disconnected is called **connected**.

#### Theorem

A set  $E \subseteq \mathbb{R}$  is connected if and only if, for all nonempty disjoint sets A and B satisfying  $E = A \cup B$ , there always exists a convergent sequence  $(x_n) \rightarrow x$  with  $(x_n)$  contained in one of A or B and x an element of the other.

#### Theorem

A set  $E \subseteq \mathbb{R}$  is connected if and only if whenever  $a < c < b$  with  $a, b \in E$ , it follows that  $c \in E$  as well.

# F*<sup>σ</sup>* sets and G*<sup>δ</sup>* sets

 $\blacksquare$  The closer we look, the more intricate and enigmatic  $\mathbb R$  looks.

- Open sets are either a finite or countable union of open intervals.
- Closed sets on the other hand do have a neat characterization. For example, the Cantor set is closed.

#### Definition

A set  $A \subseteq \mathbb{R}$  is called an  $F_{\sigma}$  set if it can be written as the countable union of closed sets. A set  $B \subseteq \mathbb{R}$  is called a  $G_{\delta}$  set if it can be written as the countable intersection of open sets.

### Proposition

A set A is a G*<sup>δ</sup>* set if and only if its complement is an F*<sup>σ</sup>* set.

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\blacksquare
$$
 (a, b] is both a  $G_\delta$  set and an  $F_\sigma$  set.

$$
\blacksquare \text{ Q is an } F_{\sigma} \text{ set and } \mathbb{I} \text{ is a } G_{\delta} \text{ set.}
$$

**■** Recall that a set  $G \subseteq \mathbb{R}$  is **dense** in  $\mathbb{R}$  if, given any two real numbers  $a < b$ , it is possible to find a point  $x \in G$  with  $a < x < b$ .

#### Theorem

If  $\{G_1, G_2, G_2, \ldots\}$  is a countable collection of dense open sets, then the intersection  $\bigcap_{n=1}^\infty \mathit{G}_n$  is not empty.

#### **Corollary**

The irrationals II is not an  $F_{\sigma}$  set and consequently  $\mathbb Q$  is not a  $G_{\delta}$  set.

**E** Can you find a set which is neither an  $F_{\sigma}$  set nor a  $G_{\delta}$  set?

### Proposition

A set  $G \subseteq \mathbb{R}$  is dense in  $\mathbb{R}$  if and only if  $\overline{G} = \mathbb{R}$ .

### **Definition**

A set E is **nowhere-dense** if  $\overline{E}$  contains no nonempty open intervals.

*Example:*  $\mathbb{Q} \subset \mathbb{R}$  is dense, while  $\mathbb{Z} \subset \mathbb{R}$  is nowhere-dense.

### **Proposition**

A set E is nowhere-dense in  $\mathbb R$  if and only if the complement of  $\overline{E}$  is dense in R.

## <span id="page-6-0"></span>Theorem (Baire's theorem)

The set of real numbers  $\mathbb R$  cannot be written as the countable union of nowhere-dense sets.

- Baire's theorem (also called the Baire Category theorem) offers another perspective on the size of  $\mathbb{R}$ .
- **Sets that are countable unions of nowhere-dense are called "meager"** or of **first category**, while sets that are not of first category are of **second category**. Thus the Baire Category theorem says that  $\mathbb R$  is of second category.
- The Baire Category theorem generalizes to say that any complete metric space is of second category.
- Consider the complete metric space of continuous functions on [0, 1] with metric sup  $|f(x) - g(x)|$ . The set of functions that are differentiable at even one point is of first category. Thus, **most continuous functions do not have derivatives at any point**.